

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eric Siu-Wai Kong : Group
Serial No: 10/777,269 : Art Unit # 1732
Filed: 13 February 2004 : Examiner:
Title: INTEGRATED OPTICAL : Unknown
DEVICES AND METHOD OF
FABRICATION THEREOF

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Applicant wishes to make the following art references of record in the above-identified Patent Application pursuant to 37 C.F.R. §§ 1.97 and 1.98, and to the Duty of Disclosure set forth in 37 C.F.R. § 1.56

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject Patent Application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search was made or that no other material information, as defined in 37 C.F.R. § 1,56(b), exists.

I. The cited Patent references are:

<u>Ref. No.</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
A	5,764,820	6/9/1998	De Dobbelaere et al.
B	6,608,947	8/19/2003	Margalit et al.

This Information Disclosure Statement is being filed more than three months subsequent to the filing date of the subject Patent Application, but before the mailing of a first Office Action.

A Form PTO-1449 and copies of the references are submitted along with this document. It is requested that the Examiner consider the references and make them of record in the above-referenced Patent Application.

Respectfully submitted,

FOR: ROSENBERG, KLEIN & LEE



David I. Klein
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Dated: 18 May 2004

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Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	1
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Application Number	10/777,269
Filing Date	13 FEBRUARY 2004
First Named Inventor	ERIC SIU-WAI KONG
Art Unit	1732
Examiner Name	-
Attorney Docket Number	MR3377-2

[illegible][illegible]Date
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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